



# Stokes drift and wind drift in a rotating equilibrium sea<sup>\*</sup>

R. M. Samelson and S. F. Zippel

CEOAS, Oregon State University

[roger.samelson@oregonstate.edu](mailto:roger.samelson@oregonstate.edu)

<https://rsamelson.ceoas.oregonstate.edu/>

IOVWST Meeting – Miami - 21 May 2026





# Context: Wind and wave drift



- Wind and wave drift currents in upper few meters of water column, with surface (upper meter) velocities up to  $0.1\text{-}0.3 \text{ m s}^{-1}$  ( $10\text{-}30 \text{ km day}^{-1}$ ), ubiquitous across global ocean.
- Speeds (upper meter) comparable to mid-ocean mesoscale geostrophic surface currents.
- Various rules of thumb (1%-3% of wind speed,  $15^\circ$  right of wind in NH; linear regressions on wind for specific datasets; etc.) for surface or near-surface wind drift but no broadly accepted quantitative description or physical understanding.
- No standard model of neutral near-surface (upper few meters) ocean boundary layer [e.g., analogous to the standard model of neutral near-surface neutral atmospheric boundary layer that has existed for decades and is the foundation of bulk aerodynamic flux schemes such as COARE 3.5].
- Risk reduction for satellite Doppler scatterometer mission planning.
- Quantitative characterization and physical understanding of near-surface ocean response to vector wind forcing.



# Background: Equilibrium-sea wind drift\*



Starting point: In a **statistically homogeneous, stationary equilibrium sea** under neutral stability conditions, the **profile of mean water motion** in the upper few meters of the water column should be a **unique function of wind speed** (and latitude).

This function would be the **near-surface, ocean equivalent** of the standard model of the near-surface neutral atmospheric boundary layer.

Samelson (2022)\* proposed a **candidate standard model** for the near-surface, neutral ocean boundary layer and this **mean near-surface drift**.

The proposed model uses a **novel wave-averaged mean drift velocity** [mass-weighted, surface-conforming Eulerian mean] that includes the equilibrium wind-sea wave (Stokes) drift.

\*Samelson, 2022. JPO, doi: [10.1175/JPO-D-22-0017.1](https://doi.org/10.1175/JPO-D-22-0017.1).



## Samelson (2022) candidate standard model:

- What part of the wind drift is carried as wave (Stokes) drift?
- Equilibrium-sea model (Samelson 2022): **Diffusive vertical momentum transport.** (Law-of-the-wall mixing-length eddy viscosity with empirical ocean roughness length and wave-effect parameters dependent on neutral 10-m wind speed; wave-breaking turbulence interpretation for large roughness lengths at high wind speeds.)
- In the presence of waves, would it be more physically consistent to include a **body force**?
- What is the **wave-drift momentum balance**?
- Can an evolution equation be formulated for **Stokes drift forcing in simulations of Langmuir circulation**?

To address these questions, Samelson and Zippel (2026)\* revisited the Samelson (2022) model, developing an explicit **mean momentum balance for equilibrium-sea wind-waves.**

\*Samelson and Zippel, 2026. JPO, doi: [10.1175/JPO-D-25-0198.1](https://doi.org/10.1175/JPO-D-25-0198.1).



- **Equilibrium-sea wave-drift:**

**Dynamic wave drift** driven by mean wave-correlated pressure forcing

- **Total equilibrium-sea wind and wind-wave drift:**

**Dynamic wave drift** driven by mean wave-correlated pressure forcing

+

Wind drift driven by **viscous fraction of wind stress and wave-breaking force.**

- **New proposed wave-averaged turbulence equations** for modeling upper ocean dynamics and Langmuir circulations.

<sup>\*</sup> Samelson and Zippel, 2026. JPO, doi: [10.1175/JPO-D-25-0198.1](https://doi.org/10.1175/JPO-D-25-0198.1).



# Rotating equilibrium sea: wind-wave drift



$$-fV_{Sd} = -\frac{1}{\rho_0} \overline{\frac{\partial p'_p}{\partial x}} - \Gamma_{eq}U_{Sd}, \quad fU_{Sd} = -\Gamma_{eq}V_{Sd}$$

Equilibrium-sea (Phillips 1985) condition: **mean rates of wave growth and wave-breaking momentum loss are equal.**

Similar to Hasselmann (1970) picture but...:

The **equilibrium-sea wave momentum balance is forced-damped** (and stationary) rather than inviscid-unforced (and time-dependent); i.e., there is an explicit, non-trivial momentum balance for the waves.

The mean wave momentum balance is consistently calculated using a **mass-weighted, surface-conforming Eulerian mean** rather than a reconstituted mixture of Eulerian and Lagrangian representations.

Two different Stokes (wave) drifts are defined:

**Kinematic Stokes drift** (Stokes 1847),  $U_S(z) = \sigma k a^2 e^{2kz}$ ,

which here appears in wave-correlated pressure forcing and breaking, not wave drift, but remains directed downwind.

**Dynamic Stokes drift**,  $\mathbf{U}_{Sd} = U_S \cos \gamma_{eq} (\cos \gamma_{eq}, -\sin \gamma_{eq})$ ,  $\gamma_{eq} = \arctan\left(\frac{f}{\Gamma_{eq}}\right) = \arctan(fT_{eq})$ ,

which is the rectified wave drift driven by the mean wave-correlated pressure and rotates away from downwind.

Monochromatic and spectral (Phillips 1985) representations of the equilibrium wind-sea are both considered.



Formulation: Mean rate of wave-breaking loss of momentum enters mean drift balance as component of forcing.

“Wave-forced wind drift”: Mean drift  $\mathbf{W}=(U,V)$  forced by  
{viscous wind-stress fraction}  $(1-b_0) \tau_0$  + {wave-breaking body force}  $\mathbf{F}_{br}(z)$ .

The total wind + wave drift: Sum  $\mathbf{W} + \mathbf{U}_{Sd}$  of wave-forced wind drift  $\mathbf{W}=(U,V)$   
and dynamic Stokes drift  $\mathbf{U}_{Sd}$ .

$\mathbf{W}$ :

$$\begin{aligned} -fV &= \frac{d}{dz} \left[ \phi_w \kappa u_* (z_0 - z) \frac{dU}{dz} \right] + F_{br}^x(z) \\ fU &= \frac{d}{dz} \left[ \phi_w \kappa u_* (z_0 - z) \frac{dV}{dz} \right] + F_{br}^y(z) \end{aligned}$$

$\mathbf{U}_{Sd}$ :

$$-fV_{Sd} = -\frac{1}{\rho_0} \frac{\partial p'_p}{\partial x} - \Gamma_{eq} U_{Sd}, \quad fU_{Sd} = -\Gamma_{eq} V_{Sd}$$

$\mathbf{F}_{br}$ :

$$\mathbf{F}_{br}(z) = -\tau_{br}(z) = \Gamma_{eq} \mathbf{U}_{Sd}$$



$$\mathbf{u}: \quad \frac{\partial \mathbf{u}}{\partial t} + (\mathbf{u} \cdot \nabla) \mathbf{u} + f \hat{\mathbf{z}} \times \mathbf{u} = -\frac{1}{\rho_0} \nabla p - g \frac{\rho'}{\rho_0} \hat{\mathbf{z}} + D(\mathbf{u}) + \underline{\mathbf{U}_S} \times (\nabla \times \mathbf{u}) + \underline{\mathbf{F}_{br}}$$

$$\mathbf{F}_{br}: \quad \mathbf{F}_{br}(z) = -\tau_{br}(z) = \Gamma_{eq} \mathbf{U}_{Sd}$$

These equations are forced at the surface by the viscous wind-stress fraction  $(1-b_0) \tau_0$  and in the interior by the **wave-breaking body force  $\mathbf{F}_{br}$** , and are supplemented by the mean dynamic drift momentum balance:

$$\mathbf{U}_{sd}: \quad -f V_{Sd} = -\frac{1}{\rho_0} \overline{\frac{\partial p'_p}{\partial x}} - \Gamma_{eq} U_{Sd}, \quad f U_{Sd} = -\Gamma_{eq} V_{Sd}$$

which gives:

$$\mathbf{U}_{sd}: \quad \mathbf{U}_{Sd} = U_S \cos \gamma_{eq} (\cos \gamma_{eq}, -\sin \gamma_{eq}), \quad \gamma_{eq} = \arctan \left( \frac{f}{\Gamma_{eq}} \right) = \arctan(f T_{eq})$$



## Monochromatic and spectral representations of the equilibrium wind-sea

Monochromatic:

$$\underline{U_S(z)} = \sigma k a^2 e^{2kz}$$

$$T_{eq} = \frac{1}{\Gamma_{eq}} = \frac{\rho_0 g \bar{\zeta}^2}{b_0 c \tau_0}$$

$$\underline{\mathbf{F}_{br}(z)} = -\tau_{br}(z) = \underline{\Gamma_{eq} \mathbf{U}_{Sd}}$$

$$\mathbf{U}_{Sd} = U_S \cos \gamma_{eq} (\cos \gamma_{eq}, -\sin \gamma_{eq}), \quad \gamma_{eq} = \arctan \left( \frac{f}{\Gamma_{eq}} \right) = \arctan(f T_{eq})$$

Spectral (Phillips 1985):

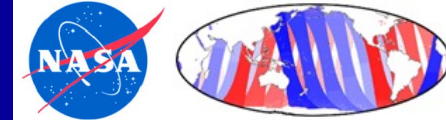
$$\underline{\hat{U}_S(z; k)} = 2 \sigma k \Psi(k) e^{2kz} = 2 \beta I(p) v_* k^{-2} e^{2kz}, \quad \Gamma_{eq} \rightarrow \Gamma_k = 1/T_k$$

$$\underline{\mathbf{F}_{br}(z)} = -\tau_{br}(z) = \int_{k_0}^{k_1} \underline{\Gamma_k \hat{\mathbf{U}}_{Sd}(z; k)} k dk = \int_{k_0}^{k_1} \frac{1}{T_k} \hat{\mathbf{U}}_{Sd}(z; k) k dk$$

$$\bar{\mathbf{U}}_{Sd}(z) = \int_{k_0}^{k_1} \hat{\mathbf{U}}_{Sd}(z; k) k dk = 2 \beta I(p) v_* \int_{k_0}^{k_1} k^{-1} \cos \gamma_k e^{2kz} (\cos \gamma_k, -\sin \gamma_k) dk$$

$$\gamma_k = \arctan(f T_k) = \arctan(25 f v_*^{-2} g^{1/2} k^{-3/2})$$

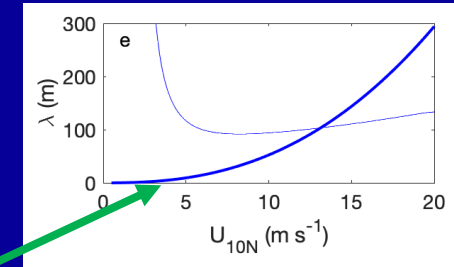
$$\Gamma_k = \beta_k \text{ for wave growth rate } \beta_k \text{ from Plant (1982)}$$



# Results: Monochromatic dynamic wave (Stokes) drift

$$\mathbf{U}_{Sd} = U_S \cos \gamma_{eq} (\cos \gamma_{eq}, -\sin \gamma_{eq}), \quad \gamma_{eq} = \arctan \left( \frac{f}{\Gamma_{eq}} \right) = \arctan(fT_{eq})$$

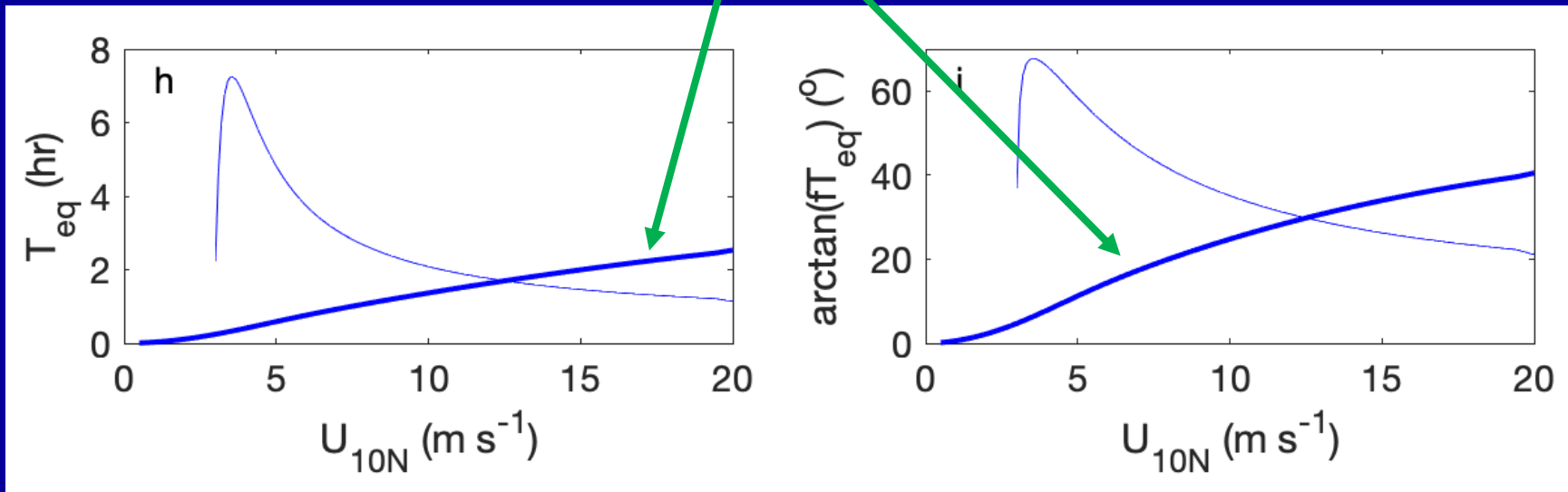
Monochromatic waves at Resio et al. (1999) peak wavelength



$$T_{eq} = \frac{1}{\Gamma_{eq}} = \frac{\rho_0 g \bar{\zeta}^2}{b_0 c \tau_0}$$

Equilibrium-sea timescale (hr)

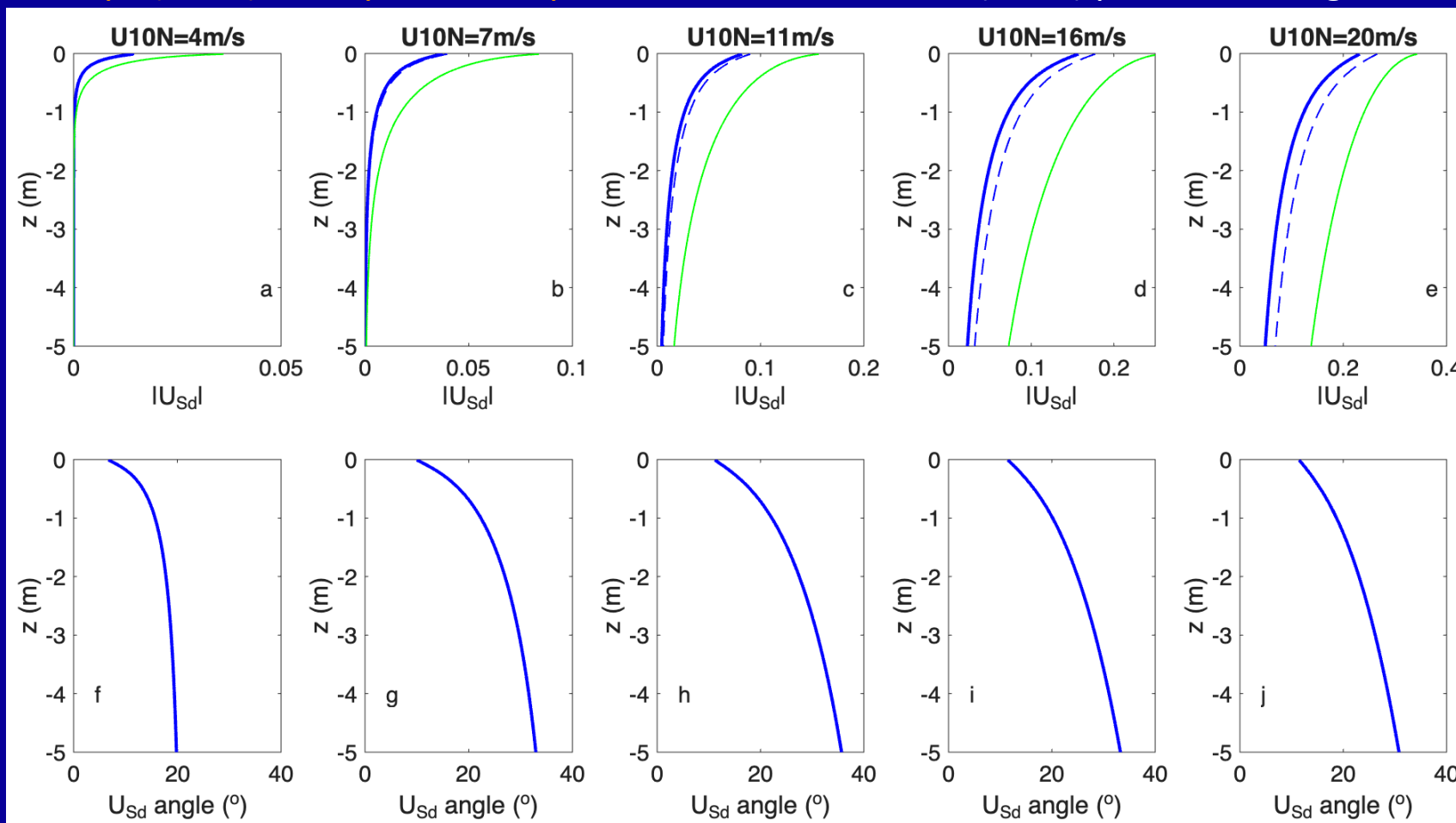
Angle clockwise from downwind (40 °N)



# Results: Spectral dynamic wave (Stokes) drift

Phillips (1985)  $\sigma^{-4}$  equilibrium spectrum with Resio et al. (1999) peak wavelength

Drift  
magnitude  
( $\text{m s}^{-1}$ )



Angle  
clockwise  
from  
downwind  
( $40^\circ$  N)

Green:  
Breivik et  
al. (2016),  
using  
Phillips  
(1958)  $\sigma^{-5}$   
spectrum

Dynamic drift ( $40^\circ$  N) : solid blue line  
Kinematic drift (no rotation): dashed blue line (upper panels only)

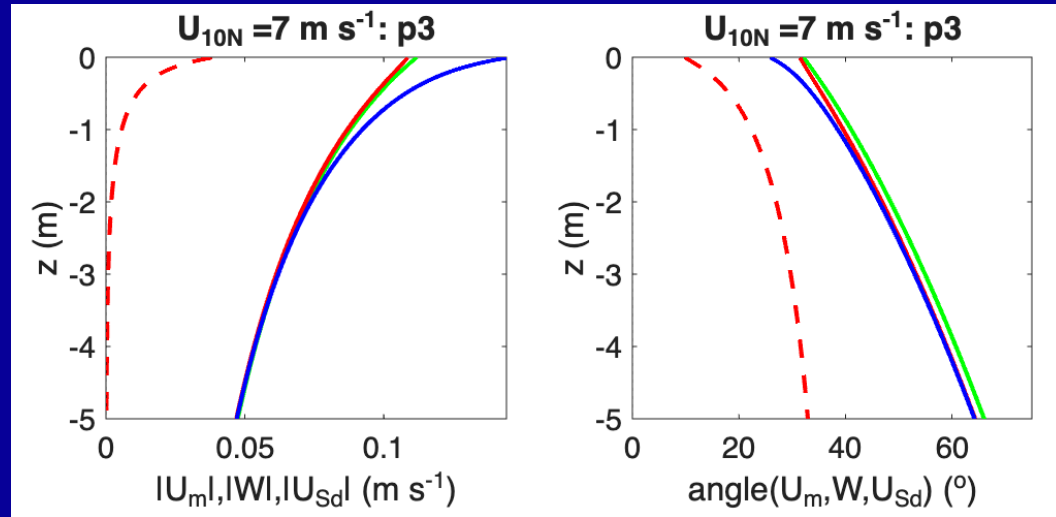
# Results: wind + spectral dynamic wave drift

P3 wind-drift model  
(P5 wind + wave drift)

7 m s<sup>-1</sup>

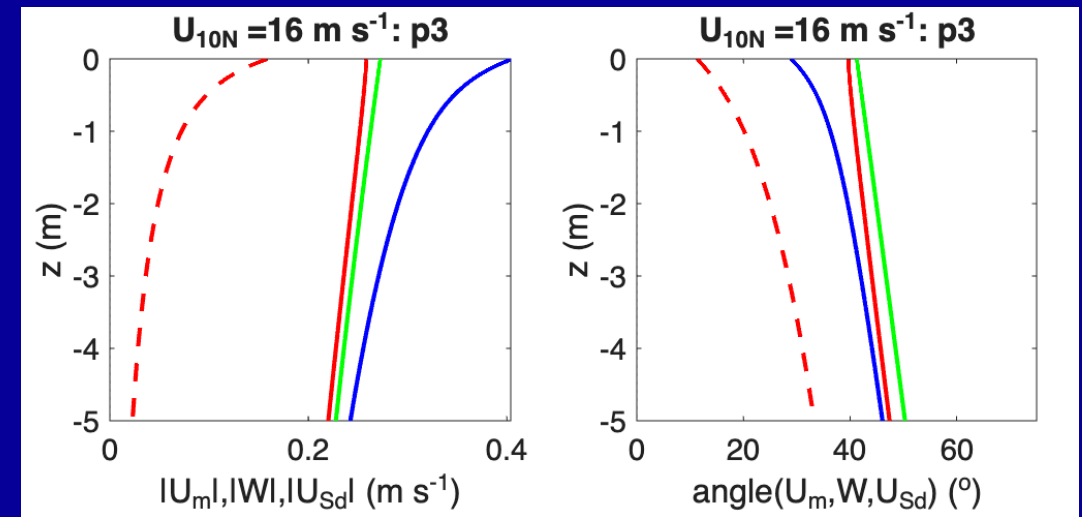
Total wind + wave drift: **blue**  
Dynamic wave drift: **red dashed**  
Wave-forced wind drift: **red**  
Wind drift only ( $b_0=0$ ): **green**

16 m s<sup>-1</sup>



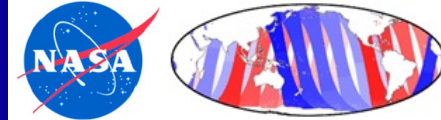
Drift magnitude (m s<sup>-1</sup>)

Angle clockwise from  
downwind (40 °N)

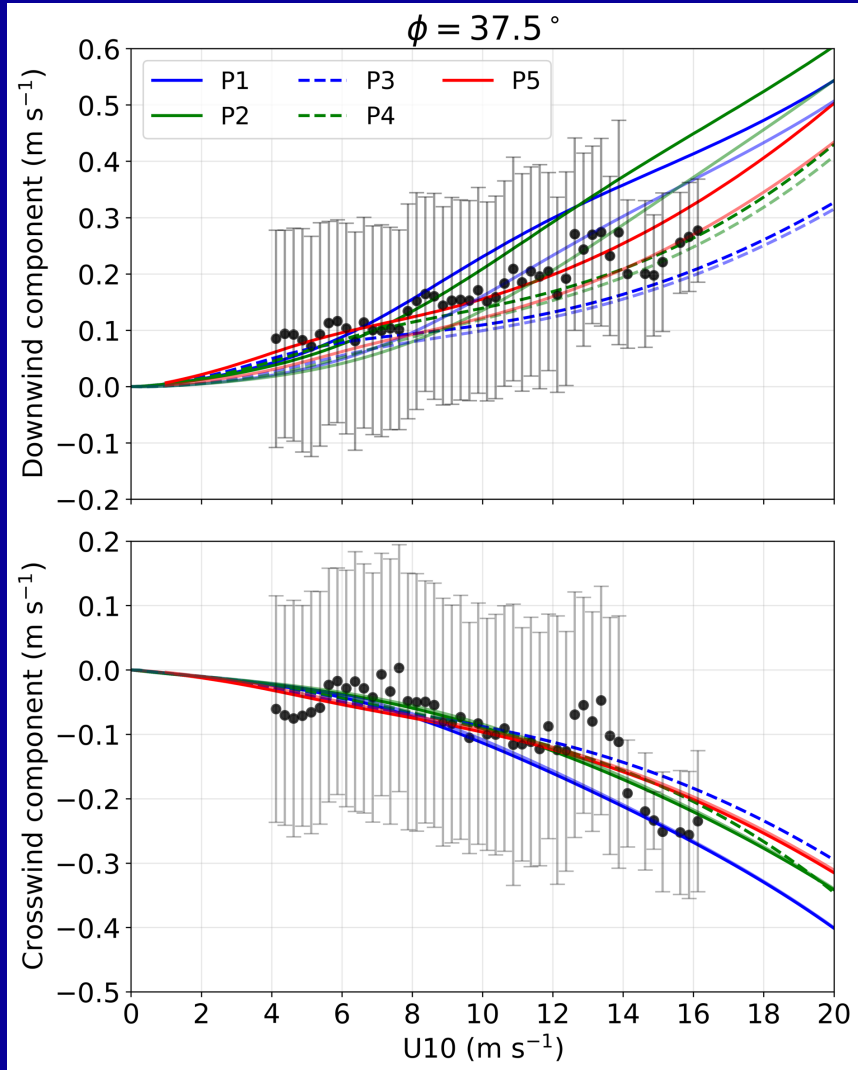


Drift magnitude (m s<sup>-1</sup>)

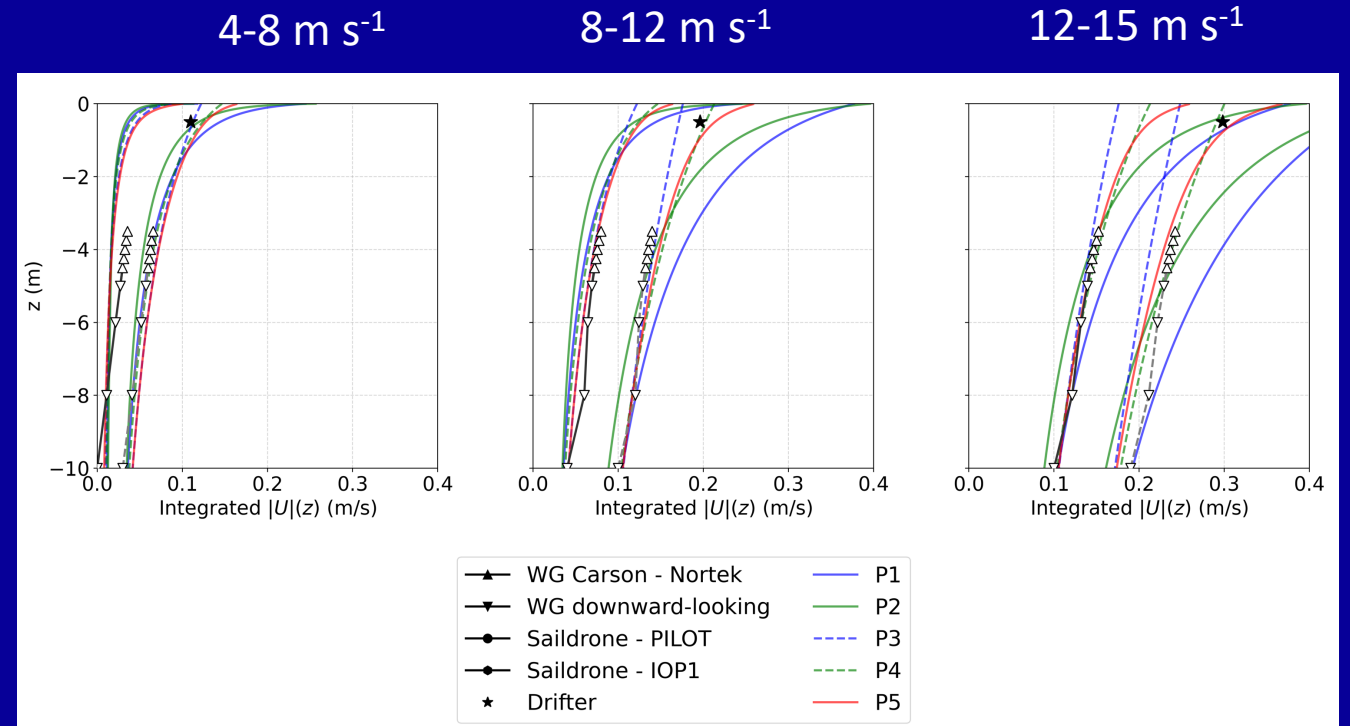
Angle clockwise from  
downwind (40 °N)



## Surface drifters



## Surface drifters and integrated WG ADCP vertical shear



Total wind + wave drift: **red**  
Wind drift only ( $b_0=0$ ): **blue, green**

\*Leyba, Samelson, Farrar, Rocha, and Rodríguez. JAOT, submitted.

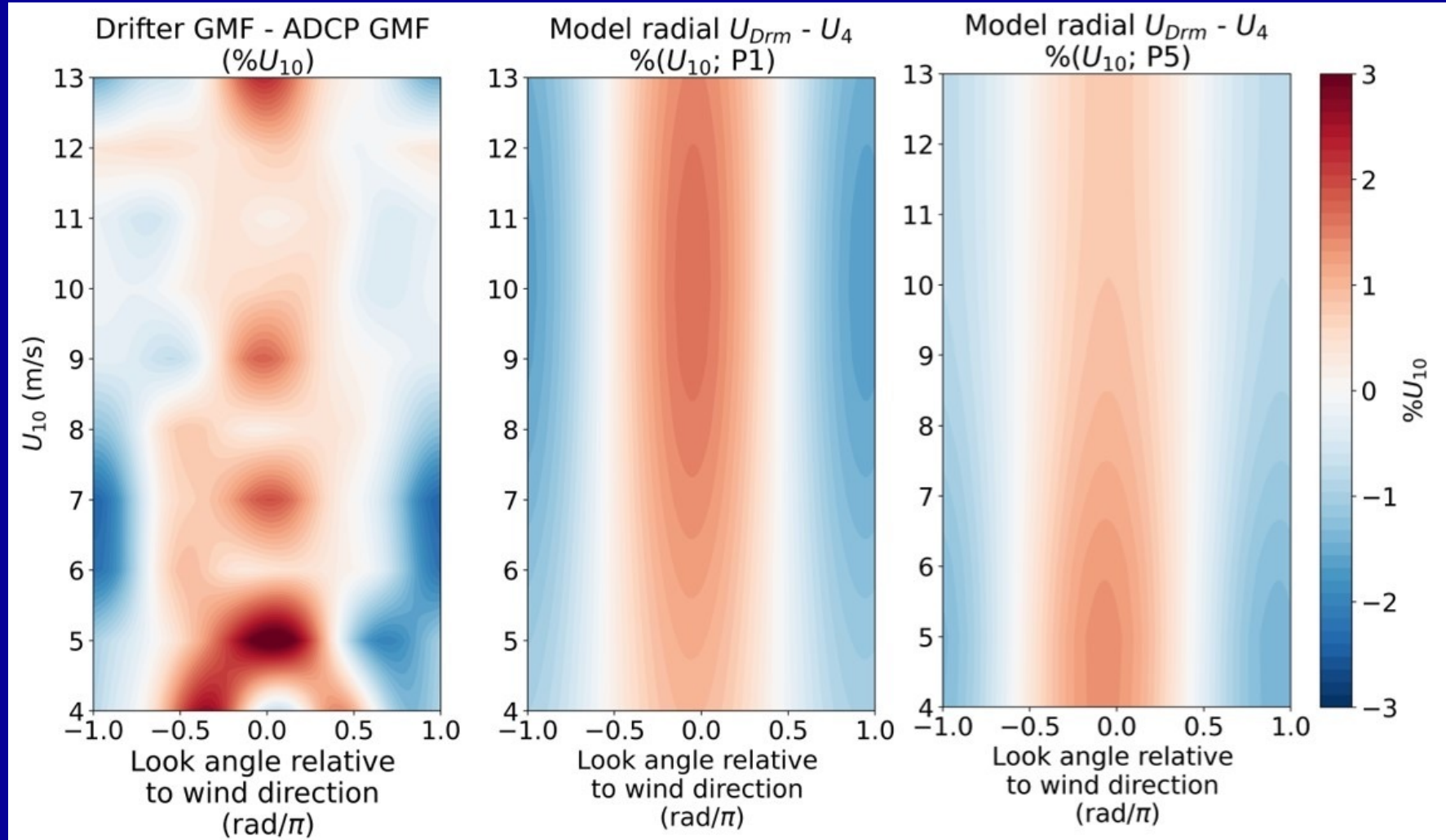


# Comparison with S-MODE observations\*



DopplerScatt “wind-drift”  
(GMF shear) estimate

Wind-drift model prediction  
P1 P5



\*Leyba, Samelson, Farrar, Rocha, and Rodríguez. JAOT, submitted.



# Results: pressure fraction of wind stress

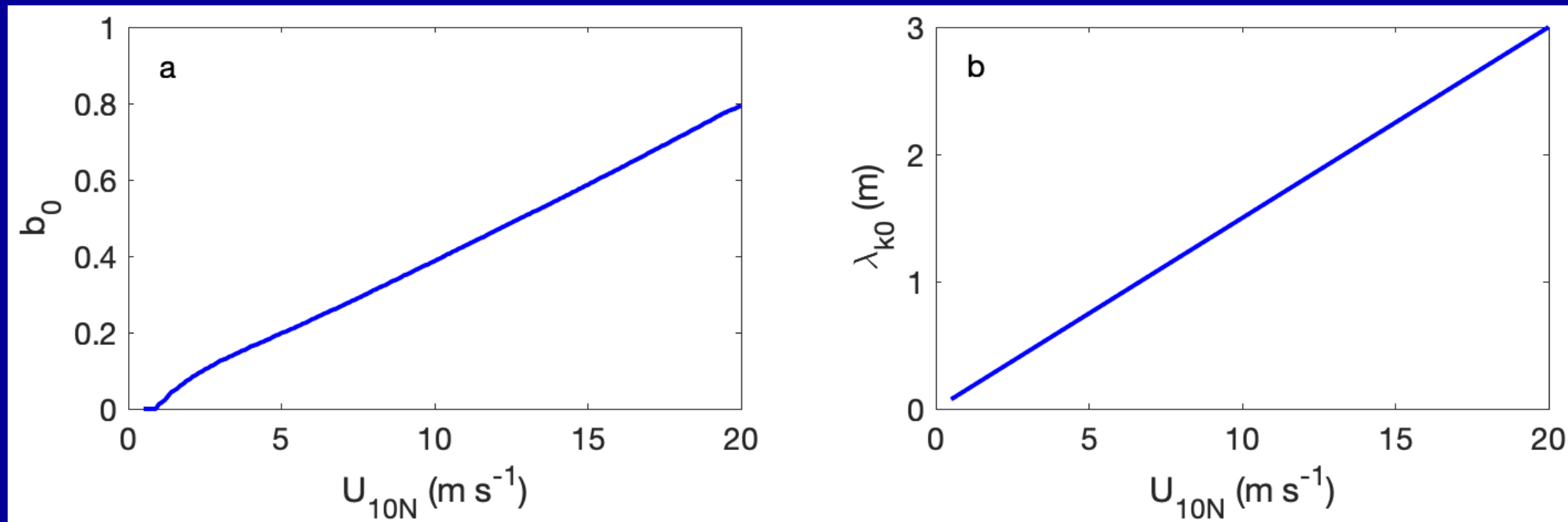


Spectral (Phillips 1985) representation of equilibrium wind-sea

Wave-correlated pressure-forcing fraction of wind stress:  $\tau_p = b_0 \tau$

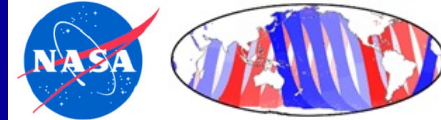
$$b_0 = \frac{\tau_p}{\tau}$$

Short-wave cut-off  $\lambda_{k_0} = 2\pi/k_0$





# MERRA-2 reanalysis winds: model-predicted drift

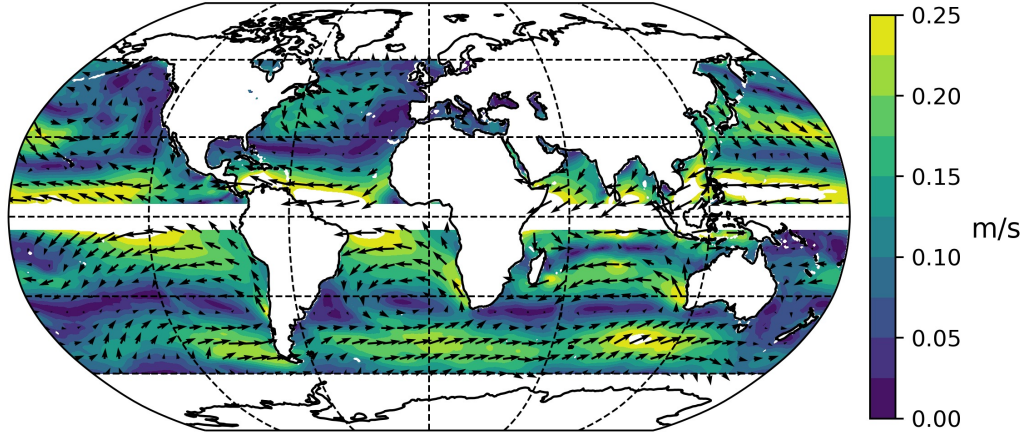


January 1980

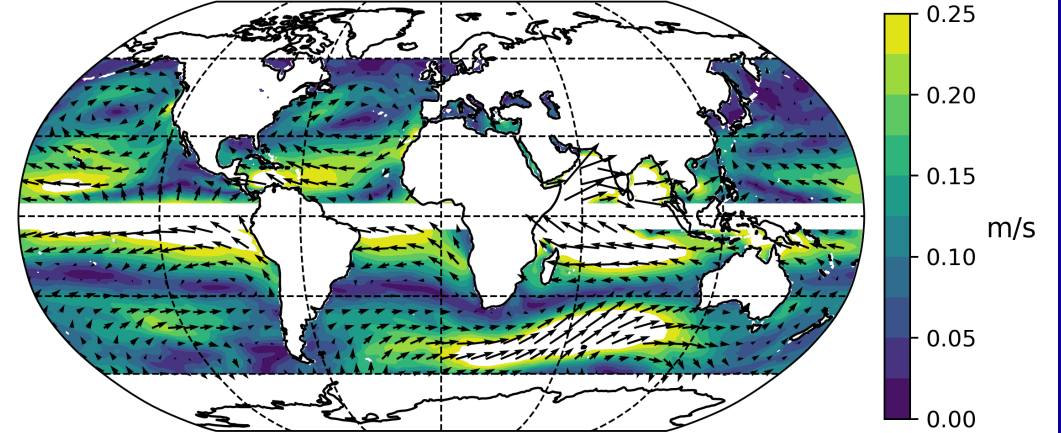
July 1980

Surface

MERRA-2 vector mean sfc-p5 wind drift Jan1980

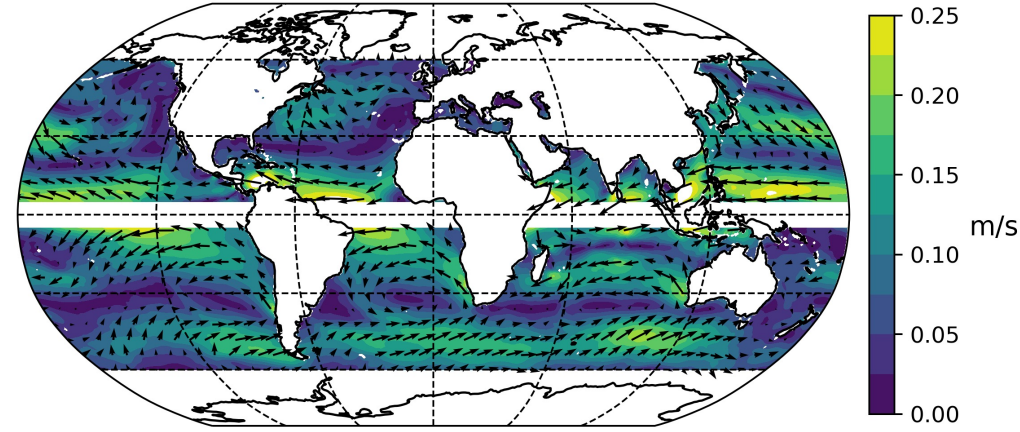


MERRA-2 vector mean sfc-p5 wind drift Jul1980

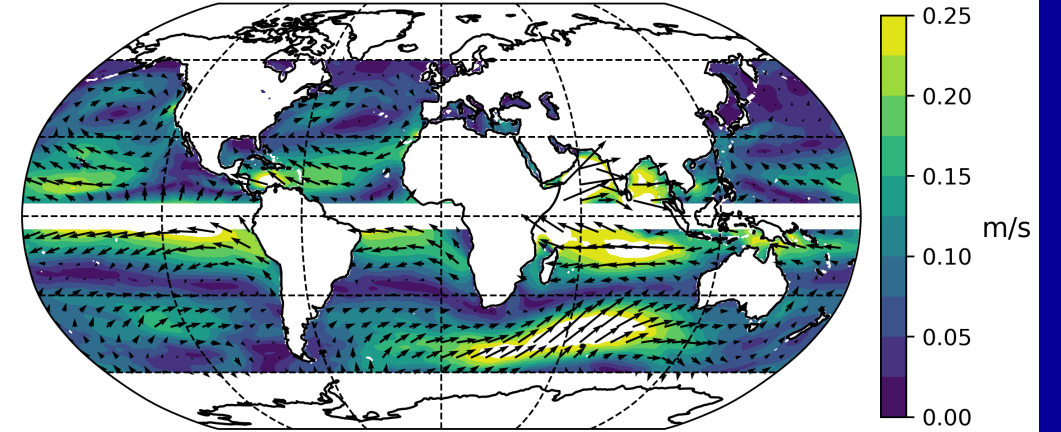


0-1.6 m  
depth  
mean

MERRA-2 vector mean DS-p5 wind drift Jan1980



MERRA-2 vector mean DS-p5 wind drift Jul1980





# Summary\*



- Equilibrium-sea wave-drift:

Dynamic wave drift driven by mean wave-correlated pressure forcing.

Dynamic wave-drift rotates, giving a “Stokes-Ekman” layer.

- Candidate standard model for neutral wind-forced near-surface ocean boundary layer:

Equilibrium-sea wind and wind-wave drift consisting of sum of...

Dynamic wave drift driven by mean wave-correlated pressure forcing

+

Wind drift driven by viscous fraction of wind stress and wave-breaking force.

- New proposed wave-averaged turbulence equations.
- Comparison with observations (calibration) in progress.

\*Samelson and Zippel, 2026. JPO, doi: [10.1175/JPO-D-25-0198.1](https://doi.org/10.1175/JPO-D-25-0198.1).



# Open questions & future opportunities



- Exploration of new wave-averaged equations.
- Dynamic wave-drift: linear breaking force; swell; time-dependent forcing.
- Surface-referenced “true” drag coefficient [COARE3.5: 10-m ref. level].
- Candidate standard model as neutral near-surface limit of 1-d mixing models.
- Extended observational testing and calibration of candidate standard model for neutral wind-forced near-surface (upper few meters) ocean boundary layer and dynamic wave-drift theory.\*

\*Leyba, Samelson, Farrar, Rocha, and Rodríguez. JAOT, submitted.